



from Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2023



STATEMENT FOR:
[REDACTED] BUCKLEY

Beginning Total Value (as of 12/1/23)
Ending Total Value (as of 12/31/23)
Includes Accrued Interest

\$42,747.06
\$72,623.04

Access Your Account Online At
www.etrade.com or call 800-387-2331

*Morgan Stanley Smith Barney LLC. Member SIPC.
E*TRADE is a business of Morgan Stanley.*

#BWNJGWM

[REDACTED] BUCKLEY
760 PERIWINKLE ST
BOCA RATON FL 33486

*INVESTMENTS AND INSURANCE PRODUCTS: NOT FDIC INSURED • NOT A BANK DEPOSIT •
NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY • NOT BANK GUARANTEED •
MAY LOSE VALUE • UNLESS SPECIFICALLY NOTED, ALL VALUES ARE DISPLAYED IN USD*

Expanded Disclosures

Expanded Disclosures, which apply to all statements we send to you, are provided with your first statement and thereafter twice a year.

Questions?

Questions regarding your account may be directed to us by using the contact information on the statement cover page.

Errors and Inquiries

Be sure to review your statement promptly, and immediately address any concerns regarding entries that you do not understand or believe were made in error by contacting us by using the contact information on your statement cover page. Oral communications regarding any inaccuracy or discrepancy in this statement should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). Your statement will be deemed correct unless we receive a written inquiry of a suspected error. See your account documentation for special rules regarding your rights and responsibilities with respect to erroneous electronic fund transfers, including a description of the transfers covered. For concerns or complaints, contact us.

Senior Investor Helpline

Senior Investor clients or those acting on their behalf have a convenient way to communicate with our Firm by calling us at (800) 280-4534 Monday-Friday 9am-7pm Eastern Time.

Account Valuation

Account values are computed by adding (1) the market value of all priced positions, (2) valuations utilizing industry service providers and/or outside custodians for other positions, and (3) adding any credit or subtracting any debit to your closing Cash, Money Market Funds and/or Deposit balance. Cash, Deposits and Money Market Funds are displayed on a settlement date basis, and other positions are displayed in your account on a trade date basis. The values of fixed income positions in summary displays include accrued interest in the totals. In the "Holdings" section, fixed income market value and accrued interest are also displayed in separate columns. Accrued interest is the interest earned but not yet paid on the bond since its last interest payment. In most cases, it is calculated from the date of the last coupon payment (or "dated date") through the closing date of the statement. Foreign Currency Deposits are reflected in U.S. dollars as of the statement end date. The Annual Percentage Yield (APY) for deposits represents the applicable rate in effect for your deposits at the statement ending date. This APY may be different than the APY that was in effect during the statement period. For current Bank Deposit or Money Market Fund yields, go to www.morganstanley.com/wealth-investmentstrategies/ratemonitor.html.

Additional Retirement Account Information

Tax-qualified account contributions are subject to IRS eligibility rules

and regulations. The Contributions and Distributions information in this statement reflects information for a particular account, without reference to any other account, and is based on information provided by you. The deductibility of an individual contribution depends upon your Modified Adjusted Gross Income and coverage by a retirement plan at work. Check with your tax advisor to verify how much you can contribute, if the contribution will be tax deductible, and if special rules apply. The information included in this statement is not intended to constitute tax, legal or accounting advice. Contact us if any of this information is incorrect.

Availability of Free Credit Balances and Financial Statements

Under the customer protection rules of the SEC [17 CFR §240.15c3-3], we may use funds comprising free credit balances carried for customer accounts here, provided that these funds are payable to customers on demand (i.e., are free of a lien or right of set-off in our favor or on behalf of some third party to whom you have given control). A financial statement of this organization is available for your personal inspection at its offices, or a copy will be mailed to you upon your written request.

Gain/(Loss) Information

Gain/(Loss) is provided for informational purposes. It is not a substitute for Internal Revenue Service (IRS) Form 1099 (on which we report cost basis for covered securities) or any other IRS tax form, and should not be used for tax preparation. Unrealized Gain/(Loss) provided on this statement is an estimate. Contact your own independent legal or tax advisor to determine the appropriate use of the Gain/(Loss) information on this statement. For more information, contact us.

Tax Reporting

Under Federal income tax law, we are required to report gross proceeds of sales (including short sales) on Form 1099-B by March 15 of the year following the calendar year of the transaction for reportable (i.e., non-retirement) accounts. For sales of certain securities acquired on or after January 1, 2011 (or applicable date for the type of security) we are also required to report cost basis and holding period. Under IRS regulations, if you have not provided us with a certification of either U.S. or foreign status on applicable Form W-9 or W-8, your accounts may be subject to either 24% back-up withholding or 30% nonresident alien withholding on payments made to your accounts.

Investment Objectives

The following is an explanation of the investment objective alternatives applicable to your account(s): Income - for investors seeking regular income with low to moderate risk to principal; Capital Appreciation - for investors seeking capital appreciation with moderate to high risk to principal; Aggressive Income - for investors seeking higher returns either as growth or as income with greater risk to principal; Speculation - for

investors seeking high profits or quick returns with considerable possibility of losing most or all of their investment.

Listed Options

Information with respect to commissions and other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you and such information will be made available to you promptly at your request. Promptly advise us of any material change in your investment objectives or financial situation.

Important Information if You are a Margin Customer(not available for certain retirement accounts)

If you have margin privileges, you may borrow money from us in exchange for pledging assets in your accounts as collateral. The amount you may borrow is based on the value of eligible securities in your margin accounts. If a security has eligible shares the number of shares pledged as collateral is indicated below the position. If you have a margin account, as permitted by law, we may use certain securities in your account for, among other things, settling short sales or lending the securities for short sales, for which we may receive compensation.

Margin Interest Charges

We calculate interest charges on margin loans as follows: (1) multiply the applicable margin interest rate by the daily close of business net settled debit balance, and (2) divide by 360 (days). Margin interest accrues daily throughout the month and is added to your debit balance at month-end. The month-end interest charge is the sum of the daily accrued interest calculations for the month. We add the accrued interest to your debit balance and start a new calculation each time the applicable interest rate changes and at the close of every statement month. For interest rate information, log into your account online and select your account with a Margin agreement to view more information.

Information Regarding Special Memorandum Account

If you have a Margin Account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account as required by Regulation T is available for your inspection at your request.

Money Market Fund (MMF) Pricing

You could lose money in MMFs. Although MMFs classified as government funds (i.e., MMFs that invest 99.5% of total assets in cash and/or securities backed by the U.S. government) and retail funds (i.e., MMFs open to natural person investors only) seek to preserve value at \$1.00 per share, they cannot guarantee they will do so. The price of other MMFs will fluctuate and when you sell shares they may be worth more or less than originally paid. MMFs may impose a fee upon sale or

Expanded Disclosures (CONTINUED)

temporarily suspend sales if liquidity falls below required minimums. During suspensions, shares would not be available for purchases, withdrawals, check writing or ATM debits. A MMF investment is not insured or guaranteed by the Federal Deposit Insurance Corporation or other government agency.

Notice Regarding Global Investment Manager Analysis

The Global Investment Manager Analysis team conducts analysis on various mutual funds and exchange-traded funds for clients holding those funds in certain investment advisory programs. If you have invested in any of these funds in another type of account, such as a brokerage account, you will not receive the same materials and status updates on the funds as we provide to investment advisory clients (including instructions on selling fund shares).

Pricing of Securities

The prices of securities are derived from various sources, and do not necessarily represent the prices at which those securities could have been bought or sold. Although we attempt to use reliable sources of information, we can offer no assurance as to their accuracy, reliability or completeness. Prices are as of the date shown only and are not an offer by us or our affiliates to purchase or sell any instrument or enter into any transaction or a commitment by us or them to make such an offer. Prices of securities not actively traded may not be available, and are indicated by N/A (not available). For additional information on how we price securities, contact us.

Important Information About Auction Rate Securities

For certain Auction Rate Securities there is no or limited liquidity. Therefore, the price(s) for these Auction Rate Securities are indicated by N/A (not available). There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security.

Structured Investments Risks and Considerations

Structured Investments (Structured Products) are complex products and are subject to special risks, which may include, but are not limited to: loss of initial investment; issuer credit risk and price volatility resulting from actual or anticipated changes to issuer's and/or guarantor's credit ratings/spreads; limited or no appreciation and limits on participation in any appreciation of underlying asset(s); risks associated with the underlying asset(s); no periodic payments; call prior to maturity; early redemption fees for market linked deposits; lower interest rates and/or yield compared to conventional debt with comparable maturity; unique tax implications; limited or no secondary market; and conflicts of interest due to affiliation, compensation or other factors which could adversely affect market value or payout to investors. Investors also should consider the concentration risk of owning the related security and their total exposure to any underlying asset. Structured

Investments, which may appear in various product categories and are identified on the Position Description Details line as "Asset Class: Struct Inv," may not perform in a manner consistent with the product category where they appear, and therefore may not satisfy portfolio asset allocation needs for that category. When displayed, the accrued interest, annual income and yield for structured investments with a contingent income feature (e.g., Range Accrual Notes/Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. Actual accrued interest, annual income and yield will be dependent upon the performance of the underlying asset(s) and may be significantly lower than estimates shown. For more information on the risks and conflicts of interest related to Structured Investments, log in to Morgan Stanley Online at

www.morganstanley.com/structuredproductsrisksandconflicts. For information on risks specific to your Structured Investments, contact us.

Security Measures

This statement features several embedded security elements to safeguard its authenticity. One is a unique blue security rectangle, printed in heat-sensitive ink on the back of every page. When exposed to warmth, the color will disappear, and then reappear.

SIPC Protection

We are a member of Securities Investor Protection Corporation (SIPC), which protects securities of its customers up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at www.sipc.org. Losses due to market fluctuation are not protected by SIPC and assets not held with us may not be covered by SIPC protection. To obtain information about SIPC, including an explanatory SIPC brochure, contact SIPC at 1-202-371-8300 or visit www.sipc.org.

Certain Assets Not Held Here

You may purchase certain assets through us that may be held at another financial institution. Assets not held with us may not be covered by SIPC protection. We may include information about certain assets on this statement solely as a service to you and are not responsible for information provided by external sources. Generally, any financial institution that holds securities is responsible for year-end reporting (e.g., Forms 1099) and separate periodic statements, which may vary from our information due to different reporting periods. In the case of networked mutual funds, we perform all year-end tax reporting.

Total Income

Total income, as used in the income summaries, represents dividends and/or interest on securities we receive on your behalf and credit to your account(s) during the calendar year. We report dividend distributions and taxable bond interest credited to your account to the

IRS. The totals we report may differ from those indicated as "This Year" figures on the last statement for the calendar year. Only information on Forms 1099 should be used for tax reporting. In the case of Corporations, Real Estate Investment Trusts (REITs), Master Limited Partnerships, Regulated Investment Companies and Unit Investment Trusts, some sponsors may reclassify the distribution to a different tax type for year-end reporting.

Transaction Dates and Conditions

Transactions display trade date and settlement date. Transactions are included on this statement on trade date basis (excluding BDP and MMFs). Trades that have not settled as of statement month end will also be displayed in the "Unsettled Purchases/Sales Activity" section. Upon written request, we will give you the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

Tax and Legal Disclosure

We do not provide legal or tax advice. Please consult your own tax advisor.

Revised 11/2022

Account Summary

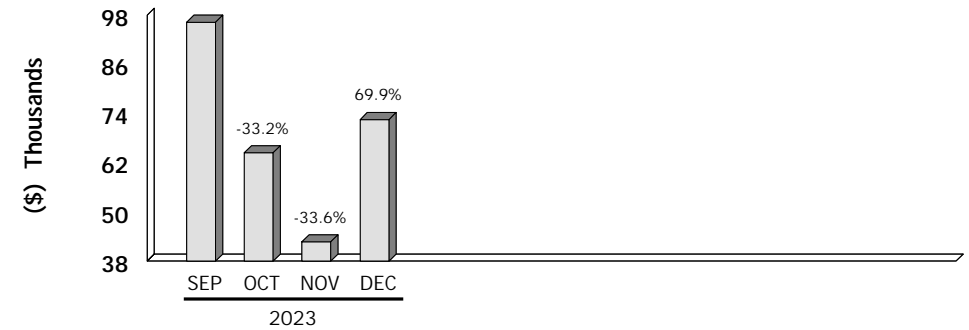
Self-Directed Brokerage Account **BUCKLEY**

CHANGE IN VALUE OF YOUR ACCOUNT (includes accrued interest)

| | This Period (12/1/23-12/31/23) | This Year (1/1/23-12/31/23) |
|-------------------------------------|-----------------------------------|--------------------------------|
| TOTAL BEGINNING VALUE | \$42,747.06 | — |
| Credits | 59,544.92 | 152,222.92 |
| Debits | (23,528.00) | (105,689.82) |
| Security Transfers | — | 8,131.16 |
| Net Credits/Debits/Transfers | \$36,016.92 | \$54,664.26 |
| Change in Value | (6,140.94) | 17,958.78 |
| TOTAL ENDING VALUE | \$72,623.04 | \$72,623.04 |

MARKET VALUE OVER TIME

The below chart displays the most recent thirteen months of Market Value.

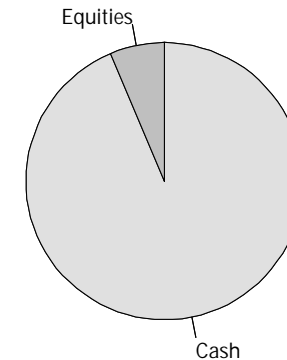


The percentages above represent the change in dollar value from the prior period. They do not represent account investment performance, as they do not consider the impact of contributions and withdrawals, nor other factors that may have affected performance calculations. No percentage will be displayed when the previous month reflected no value.

ASSET ALLOCATION (includes accrued interest)

| | Market Value | Percentage |
|--------------------|--------------------|----------------|
| Cash | \$67,973.04 | 93.60 |
| Equities | 4,650.00 | 6.40 |
| TOTAL VALUE | \$72,623.04 | 100.00% |

FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held, as a courtesy, and may not be covered by SIPC. Foreign Exchange (FX) is neither FDIC nor SIPC insured. For additional information, refer to the corresponding section of this statement.



This asset allocation represents holdings on a trade date basis, and projected settled Cash/BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.

Account Summary

Self-Directed Brokerage Account [REDACTED] BUCKLEY [REDACTED]

BALANCE SHEET (^ includes accrued interest)

| | Last Period (as of 11/30/23) | This Period (as of 12/31/23) |
|--|---------------------------------|---------------------------------|
| Cash, BDP, MMFs | \$40,172.73 | \$67,482.57 |
| Stocks | 6,725.00 | 1,870.00 |
| ETFs & CEFs | 2,570.00 | 2,780.00 |
| Net Unsettled Purchases/Sales | (6,720.67) | 490.47 |
| Total Assets | \$42,747.06 | \$72,623.04 |
| Total Liabilities (outstanding balance) | — | — |
| TOTAL VALUE | \$42,747.06 | \$72,623.04 |

INCOME AND DISTRIBUTION SUMMARY

| | This Period (12/1/23-12/31/23) | This Year (1/1/23-12/31/23) |
|---------------------------------------|-----------------------------------|--------------------------------|
| Other Dividends | — | \$4.04 |
| Interest | 0.18 | 1.52 |
| Income And Distributions | \$0.18 | \$5.56 |
| Tax-Exempt Income | — | — |
| TOTAL INCOME AND DISTRIBUTIONS | \$0.18 | \$5.56 |

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

CASH FLOW

| | This Period (12/1/23-12/31/23) | This Year (1/1/23-12/31/23) |
|--|-----------------------------------|--------------------------------|
| OPENING CASH, BDP, MMFs | \$40,172.73 | — |
| Purchases | (280,924.29) | (1,377,424.92) |
| Sales and Redemptions | 279,428.17 | 1,398,859.30 |
| Prior Net Unsettled Purch/Sales | (6,720.67) | N/A |
| Net Unsettled Purch/Sales | (490.47) | (490.47) |
| Income and Distributions | 0.18 | 5.56 |
| Total Investment Related Activity | \$(8,707.08) | \$20,949.47 |
| Electronic Transfers-Credits | 59,544.92 | 130,291.24 |
| Electronic Transfers-Debits | (23,500.00) | (105,505.52) |
| Other Credits | — | 21,931.68 |
| Other Debits | (28.00) | (178.00) |
| Interest Charged | — | (6.30) |
| Total Cash Related Activity | \$36,016.92 | \$46,533.10 |
| Total Card/Check Activity | — | — |
| CLOSING CASH, BDP, MMFs | \$67,482.57 | \$67,482.57 |

GAIN/(LOSS) SUMMARY

| | Realized This Period (12/1/23-12/31/23) | Realized This Year (1/1/23-12/31/23) | Unrealized Inception to Date (as of 12/31/23) |
|--------------------------|--|---|---|
| Short-Term Gain | \$127,027.60 | \$301,120.37 | \$190.47 |
| Short-Term (Loss) | (130,064.25) | (277,713.52) | (4,569.35) |
| Total Short-Term | \$(3,036.65) | \$23,406.85 | \$(4,378.88) |
| Long-Term (Loss) | — | — | (8,745.81) |
| TOTAL GAIN/(LOSS) | \$(3,036.65) | \$23,406.85 | \$(13,124.69) |

The Gain/(Loss) Summary, which may be subsequently adjusted, is provided for informational purposes and should not be used for tax preparation. For additional detail, please visit www.etrade.com.

Account Detail

Self-Directed Brokerage Account

BUCKLEY

Investment Objectives (in order of priority): Speculation

Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

Brokerage Account

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Your actual investment return may differ from the unrealized gain/(loss) displayed. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for holdings that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current Yield is an estimate for informational purposes only. It reflects the income generated by an investment, and is calculated by dividing the total estimated annual income by the current market value of the entire position. It does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

For additional information related to Unrealized and Realized Gain/(Loss) and tax lot details, including cost basis, please visit www.etrade.com. The information presented on the statement should not be used for tax purposes.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions. Under the Bank Deposit Program, free credit balances held in an account(s) at Morgan Stanley Smith Barney LLC are automatically deposited into an interest-bearing deposit account(s), at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, each a national bank, FDIC member and an affiliate of Morgan Stanley. For more information regarding the Bank Deposit Program, go to www.etrade.com/bdpdisclosure. Interest from required Pattern Day Trader minimum equity amounts retained in Cash Balance Program.

| Description | Market Value | 7-Day Current Yield % | Est Ann Income | APY % |
|---|---------------------------|--------------------------|----------------|-------|
| CASH | \$67,482.57 | | | |
| | | | | |
| | Percentage of Holdings | Market Value | Est Ann Income | |
| CASH, BDP, AND MMFs | | \$67,482.57 | — | |
| NET UNSETTLED PURCHASES/SALES | | \$490.47 | | |
| CASH, BDP, AND MMFs (PROJECTED SETTLED BALANCE) 93.60% | | \$67,973.04 | | |

The "Projected Settled Balance" includes accrued interest on deposits and reflects the impact of unsettled purchases/sales.

Account Detail Self-Directed Brokerage Account ██████████ BUCKLEY

STOCKS

COMMON STOCKS

| Security Description | Quantity | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|--------------------------------------|-----------|-------------|------------|--------------|------------------------|----------------|-----------------|
| MIND MEDICINE MINDMED INC NEW (MNMD) | 2,000.000 | \$3.660 | \$7,447.69 | \$7,320.00 | \$(127.69) | — | — |
| <i>Asset Class: Equities</i> | | | | | | | |

OPTIONS (Contract Prices are presented to only the third decimal (which may display as "\$0.000"), while calculation of Market Value uses an extended price.)

| Security Description | Number of Contracts | Contract Price | Total Cost | Market Value | Unrealized Gain/(Loss) |
|--|---------------------|----------------|------------|--------------|------------------------|
| CALL MIND MEDICINE MINDMED IN AT 4.000 EXPIRES 01/19/2024 (MNMD 240119C00004000) | (20.000) | \$0.210 | \$(496.71) | \$(420.00) | \$76.71 |
| <i>Short Position; Asset Class: Equities</i> | | | | | |
| PUT MARATHON DIGITAL HOLDING AT 27.500 EXPIRES 12/29/2023 | (5.000) | 4.030 | (144.17) | (2,015.00) | (1,870.83) |
| <i>Short Position; Asset Class: Equities</i> | | | | | |
| PUT MARATHON DIGITAL HOLDING AT 29.500 EXPIRES 12/29/2023 | (5.000) | 6.030 | (494.17) | (3,015.00) | (2,520.83) |
| <i>Short Position; Asset Class: Equities</i> | | | | | |

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|----------------------|------------------------|--------------|--------------|------------------------|----------------|-----------------|
| OPTIONS | | \$(1,135.05) | \$(5,450.00) | \$(4,314.95) | | |
| STOCKS | 2.57% | \$6,312.64 | \$1,870.00 | \$(4,442.64) | — | — |
| Total Stocks (Long) | | | \$7,320.00 | | | |
| Total Stocks (Short) | | | \$(5,450.00) | | | |

For listed options that have a cost basis without a corresponding market value, an unrealized gain or loss is included for informational purposes. Where market value information is not available, for purposes of calculating the unrealized gain or loss, we assume that market value is \$0. In such cases, the unrealized gain or loss may not provide an accurate reflection of the true unrealized gain or loss. For additional information regarding Gain/(Loss) and Pricing, refer to the Expanded Disclosures.

Account Detail

Self-Directed Brokerage Account [REDACTED] BUCKLEY

EXCHANGE-TRADED & CLOSED-END FUNDS

Estimated Annual Income for Exchange Traded Funds, is based upon historical distributions over the preceding 12-month period, while Estimated Annual Income for Closed End Funds may be based upon either (a) the most recent dividend or (b) sum of prior 12 months (depending upon whether there is an announced fixed rate). Current Yield is calculated by dividing the total Estimated Annual Income by the current Market Value of the position, and it is for informational purposes only. Distributions may consist of income, capital gains or the returns of capital distributions. EAI is based upon information provided by an outside vendor and is not verified by us. Depending upon market conditions, Current Yield may differ materially from published yields. Investors should refer to the Fund website for the most recent yield information.

| Security Description | Quantity | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|------------------------|-------------|--------------------|--------------------|------------------------|------------------------------------|-----------------|
| ADVISORSHARES PSYCHEDELICS (PSIL) | 2,000.000 | \$1.390 | \$11,462.05 | \$2,780.00 | \$(8,682.05) | \$6.72 | 0.24 |
| <i>Next Dividend Payable 03/2024; Asset Class: Equities</i> | | | | | | | |
| | Percentage of Holdings | | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
| EXCHANGE-TRADED & CLOSED-END FUNDS | 3.83% | | \$11,462.05 | \$2,780.00 | \$(8,682.05) | \$6.72 | 0.24% |
| | Percentage of Holdings | | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income Accrued Interest | Current Yield % |
| TOTAL VALUE | 100.00% | | \$17,774.69 | \$72,623.04 | \$(13,124.69) | \$6.72 | 0.01% |

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

| | Cash | Equities | Fixed Income & Preferred Securities | Alternatives | Structured Investments | Other |
|-----------------------------------|--------------------|-------------------|-------------------------------------|--------------|------------------------|----------|
| Cash, BDP, MMFs | \$67,973.04 | — | — | — | — | — |
| Stocks | — | \$1,870.00 | — | — | — | — |
| ETFs & CEFs | — | 2,780.00 | — | — | — | — |
| TOTAL ALLOCATION OF ASSETS | \$67,973.04 | \$4,650.00 | — | — | — | — |

Self-Directed Brokerage Account [REDACTED] BUCKLEY [REDACTED]

Account Detail

ACTIVITY

CASH FLOW ACTIVITY BY DATE

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Credits/(Debits) |
|---------------|-----------------|---------------|--|-----------|----------|------------------|
| 12/1 | 12/4 | Sold | CALL SPXW 12/01/23 4590.000 | 10.000 | \$1.9700 | \$1,963.27 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/1 | 12/4 | Sold | PUT SPXW 12/01/23 4540.000 | 5.000 | 1.8300 | 911.63 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/1 | 12/4 | Sold | PUT SPXW 12/01/23 4540.000 | 5.000 | 1.8200 | 906.63 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/1 | 12/4 | Sold | CALL AMZN 12/01/23 148.000 | 50.000 | 0.0500 | 241.80 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/1 | 12/4 | Sold | PUT AMZN 12/01/23 143.000 | 50.000 | 0.0300 | 141.80 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/1 | 12/4 | Bought | CALL SPXW 12/01/23 4595.000 | 10.000 | 1.3200 | (1,326.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/1 | 12/4 | Bought | CALL AMZN 12/01/23 147.000 | 50.000 | 0.2100 | (1,058.07) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/1 | 12/4 | Bought | PUT SPXW 12/01/23 4535.000 | 5.000 | 1.2800 | (643.36) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/1 | 12/4 | Bought | PUT SPXW 12/01/23 4535.000 | 5.000 | 1.2700 | (638.36) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/1 | 12/4 | Bought | PUT AMZN 12/01/23 144.000 | 50.000 | 0.0700 | (350.57) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/4 | 12/5 | Sold | PUT AMZN 12/08/23 141.000 | 50.000 | 0.9300 | 4,641.77 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/4 | 12/5 | Sold | CALL AMZN 12/08/23 147.000 | 50.000 | 0.8000 | 3,991.77 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/4 | 12/5 | Sold | PUT SPXW 12/04/23 4535.000 | 10.000 | 1.6200 | 1,613.27 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/4 | 12/5 | Sold | PUT SPXW 12/04/23 4530.000 | 10.000 | 0.1700 | 163.27 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/4 | 12/4 | Bought | CALL SPXW 12/01/23 4590.000 | 10.000 | 4.6300 | (4,630.00) |
| | | | ACTED AS AGENT a/o 12/01/23 | | | |
| 12/4 | 12/6 | Bought | MIND MEDICINE MINDMED INC NEW | 1,000.000 | 3.7200 | (3,720.00) |
| | | | ACTED AS AGENT UNSOLICITED TRADE | | | |

Account Detail Self-Directed Brokerage Account [REDACTED] BUCKLEY [REDACTED]

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Comments | Quantity | Price | Credits/(Debits) |
|---------------|-----------------|---------------|-----------------------------|----------|-------|--|----------|--------|------------------|
| 12/4 | 12/5 | Bought | PUT AMZN 12/08/23 140.000 | 140.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | 50.000 | 0.6700 | (3,358.07) |
| 12/4 | 12/5 | Bought | CALL AMZN 12/08/23 148.000 | 148.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | 50.000 | 0.5400 | (2,708.07) |
| 12/4 | 12/5 | Bought | PUT SPXW 12/04/23 4530.000 | 4530.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 0.9200 | (926.71) |
| 12/4 | 12/5 | Bought | PUT SPXW 12/04/23 4535.000 | 4535.000 | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.2700 | (276.71) |
| 12/5 | 12/6 | Sold | CALL AMZN 12/08/23 149.000 | 149.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | 50.000 | 1.0000 | 4,991.77 |
| 12/5 | 12/6 | Sold | PUT AMZN 12/08/23 145.000 | 145.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | 50.000 | 0.7900 | 3,941.77 |
| 12/5 | 12/6 | Sold | PUT SPXW 12/05/23 4535.000 | 4535.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 2.7500 | 2,743.27 |
| 12/5 | 12/6 | Sold | CALL AMZN 12/08/23 150.000 | 150.000 | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 50.000 | 0.4700 | 2,341.79 |
| 12/5 | 12/6 | Sold | CALL SPXW 12/05/23 4590.000 | 4590.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.9000 | 1,893.27 |
| 12/5 | 12/6 | Sold | CALL SPXW 12/05/23 4580.000 | 4580.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.3500 | 1,343.27 |
| 12/5 | 12/6 | Sold | PUT AMZN 12/08/23 140.000 | 140.000 | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 50.000 | 0.1100 | 541.80 |
| 12/5 | 12/6 | Sold | CALL SPXW 12/05/23 4595.000 | 4595.000 | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.4000 | 393.27 |
| 12/5 | 12/6 | Sold | PUT SPXW 12/05/23 4530.000 | 4530.000 | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.3200 | 313.27 |
| 12/5 | 12/6 | Sold | CALL SPXW 12/05/23 4585.000 | 4585.000 | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.1200 | 113.27 |
| 12/5 | 12/6 | Bought | CALL AMZN 12/08/23 149.000 | 149.000 | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 50.000 | 0.7200 | (3,608.07) |
| 12/5 | 12/6 | Bought | CALL AMZN 12/08/23 150.000 | 150.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | 50.000 | 0.6900 | (3,458.07) |
| 12/5 | 12/6 | Bought | PUT AMZN 12/08/23 144.000 | 144.000 | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | 50.000 | 0.5500 | (2,758.07) |

Account Detail Self-Directed Brokerage Account XXXXXXXXXX BUCKLEY XXXXXXXXXX

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Credits/(Debits) | Comments |
|---------------|-----------------|---------------|-----------------------------|----------|--------|------------------|--|
| 12/5 | 12/6 | Bought | PUT SPXW 12/05/23 4530.000 | 10.000 | 1.8500 | (1,856.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/5 | 12/6 | Bought | CALL SPXW 12/05/23 4595.000 | 10.000 | 1.1000 | (1,106.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/5 | 12/6 | Bought | PUT AMZN 12/08/23 141.000 | 50.000 | 0.1700 | (858.07) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING |
| 12/5 | 12/6 | Bought | CALL SPXW 12/05/23 4585.000 | 10.000 | 0.7500 | (756.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/5 | 12/6 | Bought | CALL SPXW 12/05/23 4590.000 | 10.000 | 0.7000 | (706.71) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/5 | 12/6 | Bought | PUT SPXW 12/05/23 4535.000 | 10.000 | 0.4700 | (476.71) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/5 | 12/6 | Bought | CALL SPXW 12/05/23 4580.000 | 10.000 | 0.2700 | (276.71) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/7 | 12/8 | Sold | PUT SPXW 12/07/23 4565.000 | 10.000 | 1.9500 | 1,943.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/7 | 12/8 | Sold | CALL SPXW 12/07/23 4585.000 | 10.000 | 1.8000 | 1,793.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/7 | 12/8 | Sold | CALL SPXW 12/07/23 4590.000 | 10.000 | 0.4700 | 463.27 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/7 | 12/8 | Sold | CALL MNMD 12/15/23 4.000 | 20.000 | 0.1500 | 296.71 | ACTED AS AGENT UNSOLICITED TRADE; OPENING |
| 12/7 | 12/8 | Sold | PUT SPXW 12/07/23 4560.000 | 10.000 | 0.1700 | 163.27 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/7 | 12/8 | Bought | CALL SPXW 12/07/23 4585.000 | 10.000 | 1.5700 | (1,576.71) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/7 | 12/8 | Bought | PUT SPXW 12/07/23 4560.000 | 10.000 | 1.3000 | (1,306.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |

Account Detail

Self-Directed Brokerage Account [REDACTED] BUCKLEY [REDACTED]

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Credits/(Debits) |
|---------------|-----------------|-----------------|--|-----------|---------|------------------|
| 12/7 | 12/8 | Bought | CALL SPXW 12/07/23 4590.000 | 10.000 | 1.0500 | (1,056.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/7 | 12/8 | Bought | PUT SPXW 12/07/23 4565.000 | 10.000 | 0.2700 | (276.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/8 | 12/11 | Sold | CALL AMZN 12/08/23 148.000 | 26.000 | 0.1500 | 385.74 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/8 | 12/11 | Sold | CALL AMZN 12/08/23 148.000 | 24.000 | 0.1300 | 308.06 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/8 | 12/11 | Sold | PUT AMZN 12/08/23 144.000 | 26.000 | 0.0700 | 177.74 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/8 | 12/11 | Sold | PUT AMZN 12/08/23 144.000 | 24.000 | 0.0700 | 164.06 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/8 | 12/11 | Sold | CALL MARA 12/08/23 16.500 | 10.000 | 0.1300 | 128.36 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/8 | | Online Transfer | ACH WITHDRAWL | | | (2,000.00) |
| 12/8 | 12/12 | Bought | MARATHON DIGITAL HOLDINGS INC | 1,000.000 | 16.4900 | (16,490.00) |
| | | | ACTED AS AGENT UNSOLICITED TRADE | | | |
| 12/8 | 12/11 | Bought | CALL AMZN 12/08/23 147.000 | 26.000 | 0.3800 | (992.19) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/8 | 12/11 | Bought | CALL AMZN 12/08/23 147.000 | 24.000 | 0.3400 | (819.87) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/8 | 12/11 | Bought | PUT AMZN 12/08/23 145.000 | 24.000 | 0.2200 | (531.87) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/8 | 12/11 | Bought | PUT AMZN 12/08/23 145.000 | 26.000 | 0.2000 | (524.19) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |
| 12/11 | 12/12 | Sold | MARATHON DIGITAL HOLDINGS INC | 1,000.000 | 16.5000 | 16,499.71 |
| | | | ACTED AS AGENT a/o 12/08/23 | | | |
| 12/11 | 12/12 | Sold | PUT AMZN 12/15/23 142.000 | 50.000 | 0.6600 | 3,291.78 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/11 | 12/12 | Bought | PUT AMZN 12/15/23 141.000 | 50.000 | 0.4600 | (2,308.07) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/12 | 12/13 | Sold | CALL AMZN 12/15/23 149.000 | 50.000 | 0.7100 | 3,541.78 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/12 | 12/13 | Bought | CALL AMZN 12/15/23 150.000 | 50.000 | 0.5100 | (2,558.07) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/13 | 12/14 | Sold | PUT MARA 12/15/23 15.000 | 15.000 | 0.2800 | 417.53 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/13 | 12/14 | Sold | PUT MARA 12/15/23 14.500 | 15.000 | 0.2700 | 402.53 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/13 | 12/14 | Bought | PUT MARA 12/15/23 14.500 | 15.000 | 0.1300 | (197.42) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |

Account Detail Self-Directed Brokerage Account ██████████ BUCKLEY ██████████

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---------------|-----------------|-----------------|-------------------------------|--|-----------|--------|------------------|
| 12/14 | 12/18 | Sold | ATAI LIFE SCIENCES NV | ACTED AS AGENT UNSOLICITED TRADE | 5,000.000 | 1.3000 | 6,499.21 |
| 12/14 | 12/18 | Sold | MIND MEDICINE MINDMED INC NEW | ACTED AS AGENT UNSOLICITED TRADE | 1,000.000 | 3.4501 | 3,449.92 |
| 12/14 | 12/15 | Sold | CALL SPXW 12/14/23 4750.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.2700 | 1,263.27 |
| 12/14 | 12/15 | Sold | CALL AMZN 12/15/23 150.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 50.000 | 0.2500 | 1,241.80 |
| 12/14 | 12/15 | Sold | CALL SPXW 12/14/23 4755.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.1200 | 113.27 |
| 12/14 | 12/15 | Sold | PUT AMZN 12/15/23 141.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 50.000 | 0.0200 | 91.80 |
| 12/14 | | Online Transfer | ACH WITHDRAWL | REFID:95532782906; | | | (3,000.00) |
| 12/14 | 12/18 | Bought | MIND MEDICINE MINDMED INC NEW | ACTED AS AGENT UNSOLICITED TRADE | 1,000.000 | 3.1200 | (3,120.00) |
| 12/14 | 12/15 | Bought | CALL AMZN 12/15/23 149.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 50.000 | 0.4600 | (2,308.07) |
| 12/14 | 12/15 | Bought | CALL SPXW 12/14/23 4755.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 0.7700 | (776.71) |
| 12/14 | 12/15 | Bought | PUT AMZN 12/15/23 142.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 50.000 | 0.0600 | (300.57) |
| 12/14 | 12/15 | Bought | CALL SPXW 12/14/23 4750.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.1700 | (176.71) |
| 12/14 | 12/15 | Bought | PUT MARA 12/15/23 15.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 15.000 | 0.0300 | (45.17) |
| 12/15 | 12/18 | Sold | PUT SPXW 12/15/23 4700.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.7500 | 1,743.27 |
| 12/15 | 12/18 | Sold | CALL SPXW 12/15/23 4745.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.2300 | 1,223.27 |
| 12/15 | 12/18 | Sold | PUT SPXW 12/15/23 4695.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.4500 | 443.27 |
| 12/15 | 12/18 | Sold | CALL SPXW 12/15/23 4750.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.0500 | 43.27 |

Account Detail Self-Directed Brokerage Account XXXXXXXXXX BUCKLEY XXXXXXXXXX

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Credits/(Debits) |
|---------------|-----------------|-------------------|--|-----------|--------|------------------|
| 12/15 | 12/18 | Bought | PUT SPXW 12/15/23 4695.000 | 10.000 | 1.1500 | (1,156.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/15 | 12/18 | Bought | CALL SPXW 12/15/23 4750.000 | 10.000 | 0.8300 | (836.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/15 | 12/18 | Bought | PUT SPXW 12/15/23 4700.000 | 10.000 | 0.8200 | (826.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/15 | 12/18 | Bought | CALL SPXW 12/15/23 4745.000 | 10.000 | 0.0800 | (85.21) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/15 | | Service Fee | November 2023 Mkt Data Fee | | | (3.00) |
| 12/18 | | Funds Received | INWIRE-20231218L1LFBL8C000353 | | | 9,544.92 |
| 12/18 | 12/20 | Sold | MIND MEDICINE MINDMED INC NEW | 2,000.000 | 3.6400 | 7,279.65 |
| | | | ACTED AS AGENT UNSOLICITED TRADE | | | |
| 12/18 | 12/19 | Sold | CALL SPXW 12/19/23 4770.000 | 10.000 | 2.1000 | 2,093.27 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/18 | 12/19 | Sold | PUT MNMD 01/19/24 3.500 | 50.000 | 0.3500 | 1,741.79 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/18 | 12/19 | Sold | CALL SPXW 12/18/23 4750.000 | 10.000 | 1.2500 | 1,243.27 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/18 | 12/19 | Sold | CALL MNMD 01/19/24 4.000 | 20.000 | 0.3000 | 596.71 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |
| 12/18 | 12/19 | Sold | CALL SPXW 12/18/23 4755.000 | 10.000 | 0.4000 | 393.27 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/18 | | Funds Transferred | WIRE OUT | | | (8,500.00) |
| 12/18 | | Online Transfer | ACH WITHDRAWL | | | (5,000.00) |
| 12/18 | 12/19 | Bought | CALL SPXW 12/19/23 4775.000 | 10.000 | 1.4500 | (1,456.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/18 | 12/19 | Bought | CALL SPXW 12/18/23 4750.000 | 10.000 | 1.1500 | (1,156.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/18 | 12/19 | Bought | CALL SPXW 12/18/23 4755.000 | 10.000 | 0.6000 | (606.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/18 | 12/19 | Bought | CALL MNMD 01/19/24 4.000 | 20.000 | 0.3000 | (603.23) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | | | |

Account Detail Self-Directed Brokerage Account XXXXXXXXXX BUCKLEY XXXXXXXXXX

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---------------|-----------------|---------------|-------------------------------|--|-----------|--------|------------------|
| 12/18 | | Service Fee | OUTGOING WIRE FEE | | | | (25.00) |
| 12/19 | 12/20 | Sold | CALL SPXW 12/20/23 4780.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 3.1200 | 3,113.27 |
| 12/19 | 12/20 | Sold | PUT SPXW 12/19/23 4745.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.2700 | 1,263.27 |
| 12/19 | 12/20 | Sold | CALL MNMD 01/19/24 4.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING | 20.000 | 0.2500 | 496.71 |
| 12/19 | 12/20 | Sold | CALL SPXW 12/19/23 4775.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 8.000 | 0.4400 | 346.61 |
| 12/19 | 12/20 | Sold | PUT SPXW 12/19/23 4740.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.2500 | 243.27 |
| 12/19 | 12/20 | Sold | CALL SPXW 12/19/23 4775.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 2.000 | 0.4500 | 88.66 |
| 12/19 | 12/21 | Bought | MIND MEDICINE MINDMED INC NEW | ACTED AS AGENT UNSOLICITED TRADE | 2,000.000 | 3.6100 | (7,220.00) |
| 12/19 | 12/20 | Bought | PUT MNMD 01/19/24 3.500 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING | 50.000 | 0.7500 | (3,758.07) |
| 12/19 | 12/20 | Bought | CALL SPXW 12/20/23 4785.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 2.2200 | (2,226.71) |
| 12/19 | 12/20 | Bought | PUT SPXW 12/19/23 4740.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 0.7200 | (726.71) |
| 12/19 | 12/20 | Bought | CALL SPXW 12/19/23 4770.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 8.000 | 0.8400 | (677.37) |
| 12/19 | 12/20 | Bought | PUT SPXW 12/19/23 4745.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.5000 | (506.71) |
| 12/19 | 12/20 | Bought | CALL SPXW 12/19/23 4770.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 2.000 | 0.8500 | (171.34) |
| 12/20 | 12/21 | Sold | PUT SPXW 12/20/23 4715.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.7500 | 1,743.27 |

Account Detail Self-Directed Brokerage Account ██████████ BUCKLEY ██████████

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Credits/(Debits) | Comments |
|---------------|-----------------|---------------|-----------------------------|----------|---------|------------------|--|
| 12/20 | 12/21 | Sold | PUT SPXW 12/20/23 4760.000 | 10.000 | 1.4700 | 1,463.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/20 | 12/21 | Sold | PUT SPXW 12/20/23 4745.000 | 10.000 | 1.4200 | 1,413.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/20 | 12/21 | Sold | PUT SPXW 12/20/23 4735.000 | 9.000 | 0.5700 | 506.94 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/20 | 12/21 | Sold | PUT SPXW 12/20/23 4735.000 | 1.000 | 0.5500 | 54.33 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/20 | 12/21 | Bought | PUT SPXW 12/20/23 4745.000 | 9.000 | 1.3700 | (1,239.04) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/20 | 12/21 | Bought | PUT SPXW 12/20/23 4710.000 | 10.000 | 1.2000 | (1,206.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/20 | 12/21 | Bought | PUT SPXW 12/20/23 4755.000 | 10.000 | 0.8700 | (876.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/20 | 12/21 | Bought | PUT SPXW 12/20/23 4735.000 | 10.000 | 0.5700 | (576.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/20 | 12/21 | Bought | PUT SPXW 12/20/23 4745.000 | 1.000 | 1.3500 | (135.67) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/21 | Sold | PUT SPXW 12/20/23 4755.000 | 10.000 | 56.6500 | 56,649.98 | ACTED AS AGENT a/o 12/20/23 |
| 12/21 | 12/21 | Sold | PUT SPXW 12/20/23 4710.000 | 10.000 | 11.6500 | 11,649.98 | ACTED AS AGENT a/o 12/20/23 |
| 12/21 | 12/22 | Sold | PUT SPXW 12/21/23 4700.000 | 9.000 | 4.5500 | 4,088.94 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/22 | Sold | PUT SPXW 12/21/23 4695.000 | 10.000 | 3.4300 | 3,423.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Sold | CALL SPXW 12/22/23 4765.000 | 10.000 | 3.0000 | 2,993.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Sold | PUT SPXW 12/21/23 4710.000 | 10.000 | 2.4000 | 2,393.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |

Account Detail

Self-Directed Brokerage Account [REDACTED] BUCKLEY

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Credits/(Debits) | Comments |
|---------------|-----------------|---------------|-----------------------------|----------|---------|------------------|--|
| 12/21 | 12/22 | Sold | CALL SPXW 12/21/23 4765.000 | 10.000 | 1.9000 | 1,893.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Sold | CALL SPXW 12/21/23 4735.000 | 10.000 | 1.7500 | 1,743.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Sold | CALL SPXW 12/21/23 4765.000 | 10.000 | 1.5500 | 1,543.27 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Sold | CALL SPXW 12/21/23 4770.000 | 10.000 | 1.1200 | 1,113.27 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/22 | Sold | PUT SPXW 12/21/23 4705.000 | 1.000 | 6.4000 | 639.33 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/22 | Sold | PUT SPXW 12/21/23 4705.000 | 10.000 | 0.5200 | 513.27 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/22 | Sold | PUT SPXW 12/21/23 4700.000 | 1.000 | 4.5000 | 449.33 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/22 | Sold | CALL SPXW 12/21/23 4740.000 | 10.000 | 0.2200 | 213.27 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/21 | Bought | PUT SPXW 12/20/23 4760.000 | 10.000 | 61.6500 | (61,650.00) | ACTED AS AGENT a/o 12/20/23 |
| 12/21 | 12/21 | Bought | PUT SPXW 12/20/23 4715.000 | 10.000 | 16.6500 | (16,650.00) | ACTED AS AGENT a/o 12/20/23 |
| 12/21 | 12/22 | Bought | PUT SPXW 12/21/23 4700.000 | 10.000 | 4.3800 | (4,386.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Bought | PUT SPXW 12/21/23 4695.000 | 9.000 | 3.5500 | (3,201.04) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/22 | Bought | CALL SPXW 12/22/23 4770.000 | 10.000 | 2.3000 | (2,306.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Bought | PUT SPXW 12/21/23 4705.000 | 10.000 | 1.8500 | (1,856.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Bought | CALL SPXW 12/21/23 4765.000 | 10.000 | 1.6700 | (1,676.71) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |

Account Detail

Self-Directed Brokerage Account

BUCKLEY

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Credits/(Debits) | Comments |
|---------------|-----------------|---------------|-----------------------------|----------|--------|------------------|--|
| 12/21 | 12/22 | Bought | CALL SPXW 12/21/23 4770.000 | 10.000 | 1.3000 | (1,306.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Bought | CALL SPXW 12/21/23 4740.000 | 10.000 | 1.1000 | (1,106.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Bought | CALL SPXW 12/21/23 4770.000 | 10.000 | 1.0500 | (1,056.71) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Bought | PUT SPXW 12/21/23 4710.000 | 10.000 | 0.8600 | (866.71) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/22 | Bought | PUT SPXW 12/21/23 4705.000 | 1.000 | 6.8000 | (680.67) | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/21 | 12/22 | Bought | CALL SPXW 12/21/23 4735.000 | 10.000 | 0.5300 | (536.71) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/21 | 12/22 | Bought | PUT SPXW 12/21/23 4695.000 | 1.000 | 3.5000 | (350.67) | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/22 | 12/26 | Sold | CALL SPXW 12/22/23 4790.000 | 9.000 | 2.3000 | 2,063.94 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/22 | 12/26 | Sold | CALL SPXW 12/22/23 4770.000 | 10.000 | 1.8400 | 1,833.27 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/22 | 12/26 | Sold | PUT SPXW 12/22/23 4735.000 | 10.000 | 1.3500 | 1,343.27 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION |
| 12/22 | 12/26 | Sold | PUT SPXW 12/22/23 4740.000 | 5.000 | 2.3500 | 1,171.63 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/22 | 12/26 | Sold | PUT SPXW 12/22/23 4740.000 | 4.000 | 2.3300 | 929.30 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/22 | 12/26 | Sold | CALL SPXW 12/22/23 4790.000 | 1.000 | 2.2800 | 227.33 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |
| 12/22 | 12/26 | Sold | PUT SPXW 12/22/23 4740.000 | 1.000 | 2.2500 | 224.33 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION |

Account Detail Self-Directed Brokerage Account ██████████ BUCKLEY ██████████

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Quantity | Price | Credits/(Debits) |
|---------------|-----------------|-----------------|--|----------|--------|------------------|
| 12/22 | 12/26 | Bought | CALL SPXW 12/22/23 4765.000 | 10.000 | 3.1200 | (3,126.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/22 | 12/26 | Bought | PUT SPXW 12/22/23 4740.000 | 10.000 | 1.9700 | (1,976.71) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/22 | 12/26 | Bought | CALL SPXW 12/22/23 4795.000 | 9.000 | 1.6000 | (1,446.04) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/22 | 12/26 | Bought | PUT SPXW 12/22/23 4735.000 | 5.000 | 1.7500 | (878.36) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/22 | 12/26 | Bought | PUT SPXW 12/22/23 4735.000 | 4.000 | 1.7300 | (694.69) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/22 | 12/26 | Bought | PUT SPXW 12/22/23 4735.000 | 1.000 | 1.6500 | (165.67) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/22 | 12/26 | Bought | CALL SPXW 12/22/23 4795.000 | 1.000 | 1.5800 | (158.67) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/26 | 12/27 | Sold | CALL SPXW 12/26/23 4780.000 | 3.000 | 1.3700 | 408.98 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/26 | 12/27 | Sold | CALL SPXW 12/26/23 4785.000 | 2.000 | 0.4700 | 92.66 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/26 | 12/27 | Sold | CALL SPXW 12/26/23 4785.000 | 1.000 | 0.5600 | 55.33 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/26 | 12/27 | Online Transfer | ACH WITHDRAWL | | | (5,000.00) |
| | | | REFID:96384648906; | | | |
| 12/26 | 12/27 | Bought | CALL SPXW 12/26/23 4780.000 | 2.000 | 1.0200 | (205.34) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/26 | 12/27 | Bought | CALL SPXW 12/26/23 4785.000 | 3.000 | 0.6200 | (188.01) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | | | |
| 12/26 | 12/27 | Bought | CALL SPXW 12/26/23 4780.000 | 1.000 | 1.1100 | (111.67) |
| | | | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | | | |
| 12/27 | 12/28 | Sold | PUT MARA 12/29/23 29.500 | 5.000 | 0.9900 | 494.17 |
| | | | ACTED AS AGENT UNSOLICITED TRADE; OPENING | | | |

Account Detail Self-Directed Brokerage Account XXXXXXXXXX BUCKLEY XXXXXXXXXX

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---------------|-----------------|-----------------|-----------------------------|--|----------|---------|------------------|
| 12/29 | | Interest Income | CASH BALANCE PROGRAM | (Period 12/01-12/31) | | | 0.18 |
| 12/29 | | Online Transfer | ACH DEPOSIT | REFID:96691608906; | | | 50,000.00 |
| 12/29 | 1/2 | Sold | CALL SPXW 01/19/24 4825.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 32.2500 | 32,243.27 |
| 12/29 | 1/2 | Sold | CALL SPXW 01/19/24 4830.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 19.6000 | 19,593.27 |
| 12/29 | 1/2 | Sold | PUT SPXW 01/19/24 4750.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 3.000 | 41.1500 | 12,342.98 |
| 12/29 | 1/2 | Sold | PUT SPXW 01/19/24 4725.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 3.000 | 23.7000 | 7,107.98 |
| 12/29 | 1/2 | Sold | PUT SPXW 12/29/23 4770.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 2.6500 | 2,643.27 |
| 12/29 | 1/2 | Sold | PUT SPXW 12/29/23 4765.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 2.2200 | 2,213.27 |
| 12/29 | 1/2 | Sold | CALL SPXW 12/29/23 4800.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.4700 | 1,463.27 |
| 12/29 | 1/2 | Sold | CALL SPXW 12/29/23 4805.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.1700 | 163.27 |
| 12/29 | 1/2 | Sold | PUT MARA 12/29/23 27.500 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 5.000 | 0.2900 | 144.17 |
| 12/29 | 1/2 | Bought | CALL SPXW 01/19/24 4830.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 30.2500 | (30,256.71) |
| 12/29 | 1/2 | Bought | CALL SPXW 01/19/24 4825.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 21.2500 | (21,256.71) |
| 12/29 | 1/2 | Bought | PUT SPXW 01/19/24 4725.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 3.000 | 32.2500 | (9,677.01) |
| 12/29 | 1/2 | Bought | PUT SPXW 01/19/24 4750.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 3.000 | 30.6500 | (9,197.01) |

Account Detail

Self-Directed Brokerage Account XXXXXXXXXX BUCKLEY XXXXXXXXXX

CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|-----------------------------|-----------------|---------------|-----------------------------|--|----------|--------|--------------------|
| 12/29 | 1/2 | Bought | PUT SPXW 12/29/23 4770.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 4.0100 | (4,016.71) |
| 12/29 | 1/2 | Bought | PUT SPXW 12/29/23 4765.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 1.8500 | (1,856.71) |
| 12/29 | 1/2 | Bought | CALL SPXW 12/29/23 4805.000 | ACTED AS AGENT UNSOLICITED TRADE; OPENING INDEX OPTION | 10.000 | 0.8700 | (876.71) |
| 12/29 | 1/2 | Bought | CALL SPXW 12/29/23 4800.000 | ACTED AS AGENT UNSOLICITED TRADE; CLOSING INDEX OPTION | 10.000 | 0.2800 | (286.71) |
| NET CREDITS/(DEBITS) | | | | | | | \$34,520.98 |

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

UNSETTLED PURCHASES/SALES ACTIVITY

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Pending Credits/(Debits) |
|--------------------------------------|-----------------|---------------|-----------------------------|--------------------|----------|-----------|--------------------------|
| 12/29 | 1/2 | Sold | CALL SPXW 01/19/24 4825.000 | UNSETTLED SALE | 10.000 | \$32.2500 | \$32,243.27 |
| 12/29 | 1/2 | Sold | CALL SPXW 01/19/24 4830.000 | UNSETTLED SALE | 10.000 | 19.6000 | 19,593.27 |
| 12/29 | 1/2 | Sold | CALL SPXW 12/29/23 4800.000 | UNSETTLED SALE | 10.000 | 1.4700 | 1,463.27 |
| 12/29 | 1/2 | Sold | CALL SPXW 12/29/23 4805.000 | UNSETTLED SALE | 10.000 | 0.1700 | 163.27 |
| 12/29 | 1/2 | Sold | PUT MARA 12/29/23 27.500 | UNSETTLED SALE | 5.000 | 0.2900 | 144.17 |
| 12/29 | 1/2 | Sold | PUT SPXW 01/19/24 4725.000 | UNSETTLED SALE | 3.000 | 23.7000 | 7,107.98 |
| 12/29 | 1/2 | Sold | PUT SPXW 01/19/24 4750.000 | UNSETTLED SALE | 3.000 | 41.1500 | 12,342.98 |
| 12/29 | 1/2 | Sold | PUT SPXW 12/29/23 4765.000 | UNSETTLED SALE | 10.000 | 2.2200 | 2,213.27 |
| 12/29 | 1/2 | Sold | PUT SPXW 12/29/23 4770.000 | UNSETTLED SALE | 10.000 | 2.6500 | 2,643.27 |
| 12/29 | 1/2 | Bought | CALL SPXW 01/19/24 4825.000 | UNSETTLED PURCHASE | 10.000 | 21.2500 | (21,256.71) |
| 12/29 | 1/2 | Bought | CALL SPXW 01/19/24 4830.000 | UNSETTLED PURCHASE | 10.000 | 30.2500 | (30,256.71) |
| 12/29 | 1/2 | Bought | CALL SPXW 12/29/23 4800.000 | UNSETTLED PURCHASE | 10.000 | 0.2800 | (286.71) |
| 12/29 | 1/2 | Bought | CALL SPXW 12/29/23 4805.000 | UNSETTLED PURCHASE | 10.000 | 0.8700 | (876.71) |
| 12/29 | 1/2 | Bought | PUT SPXW 01/19/24 4725.000 | UNSETTLED PURCHASE | 3.000 | 32.2500 | (9,677.01) |
| 12/29 | 1/2 | Bought | PUT SPXW 01/19/24 4750.000 | UNSETTLED PURCHASE | 3.000 | 30.6500 | (9,197.01) |
| 12/29 | 1/2 | Bought | PUT SPXW 12/29/23 4765.000 | UNSETTLED PURCHASE | 10.000 | 1.8500 | (1,856.71) |
| 12/29 | 1/2 | Bought | PUT SPXW 12/29/23 4770.000 | UNSETTLED PURCHASE | 10.000 | 4.0100 | (4,016.71) |
| NET UNSETTLED PURCHASES/SALES | | | | | | | \$490.47 |

This section displays transactions that have not settled during this statement period. The Holdings section includes positions purchased and omits positions sold or sold short as of the trade-date. The unit/share price for unsettled fixed income new issues in the Holdings section may be approximate in advance of active market pricing or pricing from third party pricing services.

Account Detail

Self-Directed Brokerage Account

BUCKLEY

TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

OPTIONS EXPIRATIONS, EXERCISES AND ASSIGNMENTS

| Activity Date | Activity Type | Description | Comments | Contracts |
|---------------|-----------------|-----------------------------|--|-----------|
| 12/4 | Option Expired | CALL SPXW 12/01/23 4595.000 | EXPIRED OPTIONS | 10.000 |
| 12/4 | Option Expired | PUT SPXW 12/01/23 4535.000 | EXPIRED OPTIONS | 10.000 |
| 12/4 | Option Expired | PUT SPXW 12/01/23 4540.000 | EXPIRED OPTIONS | 10.000 |
| 12/11 | Option Assigned | CALL MARA 12/08/23 16.500 | CALLS ASSIGNED OPSGK0000233421231004961 | 10.000 |
| 12/18 | Option Expired | CALL MNMD 12/15/23 4.000 | EXPIRED OPTIONS | 20.000 |
| 12/21 | Option Expired | CALL SPXW 12/20/23 4785.000 | EXPIRED OPTIONS | 10.000 |
| 12/21 | Option Expired | CALL SPXW 12/20/23 4780.000 | EXPIRED OPTIONS | 10.000 |
| 12/22 | Option Expired | CALL SPXW 12/21/23 4770.000 | EXPIRED OPTIONS | 10.000 |
| 12/22 | Option Expired | CALL SPXW 12/21/23 4765.000 | EXPIRED OPTIONS | 10.000 |
| 12/26 | Option Expired | CALL SPXW 12/22/23 4795.000 | EXPIRED OPTIONS | 10.000 |
| 12/26 | Option Expired | CALL SPXW 12/22/23 4790.000 | EXPIRED OPTIONS | 10.000 |

MESSAGES

Senior Investor Helpline

For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

FINRA BrokerCheck

FINRA has established the public disclosure program, known as BrokerCheck, to provide certain information regarding the disciplinary history of FINRA members and their associated persons. The BrokerCheck Hotline Number is 1-800-289-9999. The FINRA website address is www.finra.org. An investor brochure that includes information describing FINRA BrokerCheck may be obtained from FINRA.

Tips on Protecting Yourself from Fraudulent Account Activity

The protection of client data is of the utmost importance to us. Scams are on the rise, and they have become more sophisticated. Please remember to never share your personal information including account verification codes, account numbers, passwords or social security numbers with anyone you do not personally know.

Please be cautious opening attachments, clicking on links, or allowing external access to your computer. Scammers will be aggressive and create a sense of urgency. Scammers will promise unbelievable returns using crypto currency investing or sweepstakes/lottery. Scammers will use social media apps to build trust and then make sudden requests for money due to a hardship or emergency.

If you have any questions regarding scams or believe that you are a victim of a scam, please contact us or call 888-454-3965 to report online fraud or security concerns.

Important Information Regarding Your Account Summary

The Account Summary section on this statement reflects information from the date your account was transferred to Morgan Stanley Smith Barney LLC or, if this is a new account, the date the account was opened. To view all historical data, (i.e., Realized Gain/(Loss) information) please visit www.etrade.com/gainloss.

Important information about your 2023 tax reporting

If your E*TRADE account was transferred to Morgan Stanley Smith Barney LLC (MSSB) in 2023, you may receive multiple tax forms for 2023: tax forms for your original E*TRADE account that reports all activity with E*TRADE Securities LLC before the transfer date, and additional tax forms for your transferred account that reports all activity with MSSB after the transfer date. Please note, there may be a slight timing difference in the availability of the two forms. If your brokerage or stock plan account(s) do not meet the minimum IRS threshold for taxable activity, we'll post a notification under Documents > General Correspondence. For more information on what to expect, visit <https://us.etrade.com//tax-year-2023>.

Remember, if you also have a full service account with MSSB, you'll receive a separate tax form for that account, which will be available on Morgan Stanley Online. Only forms for your E*TRADE account will be available in the Tax Center.

2023 Recap of Cash Management Activity

Self-Directed Brokerage Account

BUCKLEY

We are pleased to enclose your Recap of Cash Management Activity. This section includes a summary of selected account activity for the preceding 12 months; including your electronic transfers, checking and card activity for the year (including ATM transactions, any automated payments and security transfers).

Information related to Income, Distributions, Purchases, Sales, and Redemptions will be provided to accounts subject to IRS reporting on Forms 1099 in the Consolidated Tax Package.

For reportable account(s), we recommend that you wait for your IRS Form(s) 1099 before completing your tax returns. This Recap is not a substitute for the official account statements that you have received from us throughout the year; and is for informational purposes only to provide you with a recap of your cash management activity. If there are any discrepancies between your account statement(s) and the information in this Recap, you should rely on the account statement(s) you have previously received.

CASH RELATED ACTIVITY

ELECTRONIC TRANSFERS (CREDITS)

| Activity Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---------------|-----------------|-------------------------------|--------------------|--------------------|
| 9/1 | Funds Received | Rec from ETS A/C 544-83867-2 | | \$746.32 |
| 9/14 | Online Transfer | ACH DEPOSIT | REFID:88991401906; | 50,000.00 |
| 9/19 | Online Transfer | ACH DEPOSIT | REFID:89306033906; | 20,000.00 |
| 12/18 | Funds Received | INWIRE-20231218L1LFBL8C000353 | | 9,544.92 |
| 12/29 | Online Transfer | ACH DEPOSIT | REFID:96691608906; | 50,000.00 |

TOTAL ELECTRONIC TRANSFERS (CREDITS)

\$130,291.24

ELECTRONIC TRANSFERS (DEBITS)

| Activity Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---------------|-------------------|------------------------------|--------------------|--------------------|
| 9/8 | Online Transfer | ACH WITHDRAWL | REFID:88567945906; | \$(3,000.00) |
| 9/13 | Online Transfer | ACH WITHDRAWL | REFID:88873110906; | (2,000.00) |
| 9/14 | Online Transfer | ACH WITHDRAWL | REFID:88977248906; | (1,000.00) |
| 9/18 | Online Transfer | ACH WITHDRAWL | REFID:89251592906; | (1,000.00) |
| 9/20 | Online Transfer | ACH WITHDRAWL | REFID:89395758906; | (1,000.00) |
| 9/27 | Funds Transferred | Rec from ETS A/C 544-83867-2 | | (5.52) |
| 10/3 | Funds Transferred | WIRE OUT | | (8,500.00) |
| 10/3 | Online Transfer | ACH WITHDRAWL | REFID:90263337906; | (1,500.00) |
| 10/5 | Online Transfer | ACH WITHDRAWL | REFID:90533017906; | (2,000.00) |
| 10/10 | Funds Transferred | WIRE OUT | | (9,500.00) |
| 10/10 | Online Transfer | ACH WITHDRAWL | REFID:90801515906; | (2,000.00) |
| 10/20 | Online Transfer | ACH WITHDRAWL | REFID:91533326906; | (8,500.00) |
| 10/24 | Funds Transferred | WIRE OUT | | (9,500.00) |
| 11/8 | Funds Transferred | WIRE OUT | | (8,500.00) |
| 11/10 | Online Transfer | ACH WITHDRAWL | REFID:93013860906; | (3,000.00) |
| 11/15 | Online Transfer | ACH WITHDRAWL | REFID:93311058906; | (1,500.00) |
| 11/24 | Funds Transferred | WIRE OUT | | (9,000.00) |
| 11/24 | Funds Transferred | WIRE OUT | | (8,500.00) |
| 11/28 | Online Transfer | ACH WITHDRAWL | REFID:94206925906; | (1,000.00) |

2023 Recap of Cash Management Activity

Self-Directed Brokerage Account

BUCKLEY

ELECTRONIC TRANSFERS (DEBITS) (CONTINUED)

| Activity Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---------------|-------------------|---------------|--------------------|--------------------|
| 11/29 | Online Transfer | ACH WITHDRAWL | REFID:94272684906; | (1,000.00) |
| 12/8 | Online Transfer | ACH WITHDRAWL | REFID:95078756906; | (2,000.00) |
| 12/14 | Online Transfer | ACH WITHDRAWL | REFID:95532782906; | (3,000.00) |
| 12/18 | Funds Transferred | WIRE OUT | | (8,500.00) |
| 12/18 | Online Transfer | ACH WITHDRAWL | REFID:95831514906; | (5,000.00) |
| 12/26 | Online Transfer | ACH WITHDRAWL | REFID:96384648906; | (5,000.00) |

TOTAL ELECTRONIC TRANSFERS (DEBITS) \$(105,505.52)

OTHER CREDITS

| Activity Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---------------|---------------|----------------------|----------|--------------------|
| 9/1 | Other Credits | BANK DEPOSIT PROGRAM | | \$21,931.68 |

TOTAL OTHER CREDITS \$21,931.68

OTHER DEBITS

| Activity Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---------------|---------------|----------------------------|----------|--------------------|
| 10/3 | Service Fee | OUTGOING WIRE FEE | | \$(25.00) |
| 10/10 | Service Fee | OUTGOING WIRE FEE | | (25.00) |
| 10/24 | Service Fee | OUTGOING WIRE FEE | | (25.00) |
| 11/8 | Service Fee | OUTGOING WIRE FEE | | (25.00) |
| 11/24 | Service Fee | OUTGOING WIRE FEE | | (25.00) |
| 11/24 | Service Fee | OUTGOING WIRE FEE | | (25.00) |
| 12/15 | Service Fee | November 2023 Mkt Data Fee | | (3.00) |
| 12/18 | Service Fee | OUTGOING WIRE FEE | | (25.00) |

TOTAL OTHER DEBITS \$(178.00)

INTEREST CHARGED

| Activity Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---------------|-------------------------|--------------------------|-----------------------------------|--------------------|
| 11/30 | Margin Interest Charged | Thru 11/30/23 for 1 days | See Margin Loan Interest Schedule | \$(6.30) |

TOTAL INTEREST CHARGED \$(6.30)

TOTAL CASH RELATED ACTIVITY \$46,533.10

SECURITY TRANSFERS

| Activity Date | Activity Type | Security (Symbol) | Comments | Quantity | Accrued Interest | Amount |
|---------------|-----------------------|-------------------------------|------------------------------|-----------|------------------|------------|
| 9/1 | Transfer into Account | ADVISORSHARES PSYCHEDELICS | Rec from ETS A/C 544-83867-2 | 1,203.000 | | \$2,190.06 |
| 9/1 | Transfer into Account | AMAZON COM INC | Rec from ETS A/C 544-83867-2 | 30.000 | | 4,143.60 |
| 9/1 | Transfer into Account | ATAI LIFE SCIENCES NV | Rec from ETS A/C 544-83867-2 | 500.000 | | 770.00 |
| 9/1 | Transfer into Account | MIND MEDICINE MINDMED INC NEW | Rec from ETS A/C 544-83867-2 | 250.000 | | 1,027.50 |

TOTAL SECURITY TRANSFERS \$8,131.16

2023 Recap of Cash Management Activity

Self-Directed Brokerage Account

BUCKLEY

OPTION ACTIVITY

| Activity Date | Activity Type | Description | Comments | Contracts |
|---------------|-----------------|-----------------------------|--|-----------|
| 9/6 | Option Expired | CALL SPXW 09/05/23 4530.000 | EXPIRED OPTIONS | 2.000 |
| 9/6 | Option Expired | CALL SPXW 09/05/23 4525.000 | EXPIRED OPTIONS | 2.000 |
| 9/7 | Option Expired | CALL SPXW 09/06/23 4475.000 | EXPIRED OPTIONS | 10.000 |
| 9/7 | Option Expired | PUT SPXW 09/06/23 4440.000 | EXPIRED OPTIONS | 10.000 |
| 9/7 | Option Expired | CALL SPXW 09/06/23 4470.000 | EXPIRED OPTIONS | 10.000 |
| 9/7 | Option Expired | PUT SPXW 09/06/23 4445.000 | EXPIRED OPTIONS | 10.000 |
| 9/8 | Option Expired | CALL SPXW 09/07/23 4465.000 | EXPIRED OPTIONS | 10.000 |
| 9/8 | Option Expired | CALL SPXW 09/07/23 4460.000 | EXPIRED OPTIONS | 10.000 |
| 9/14 | Option Expired | CALL SPXW 09/13/23 4495.000 | EXPIRED OPTIONS | 10.000 |
| 9/14 | Option Expired | CALL SPXW 09/13/23 4490.000 | EXPIRED OPTIONS | 10.000 |
| 9/19 | Option Expired | CALL SPXW 09/18/23 4475.000 | EXPIRED OPTIONS | 10.000 |
| 9/19 | Option Expired | CALL SPXW 09/18/23 4470.000 | EXPIRED OPTIONS | 10.000 |
| 9/26 | Option Expired | CALL SPXW 09/25/23 4355.000 | EXPIRED OPTIONS | 10.000 |
| 9/26 | Option Expired | PUT SPXW 09/25/23 4290.000 | EXPIRED OPTIONS | 10.000 |
| 9/26 | Option Expired | CALL SPXW 09/25/23 4350.000 | EXPIRED OPTIONS | 10.000 |
| 9/26 | Option Expired | PUT SPXW 09/25/23 4295.000 | EXPIRED OPTIONS | 10.000 |
| 9/29 | Option Expired | CALL SPXW 09/28/23 4325.000 | EXPIRED OPTIONS | 10.000 |
| 9/29 | Option Expired | CALL SPXW 09/28/23 4320.000 | EXPIRED OPTIONS | 10.000 |
| 10/5 | Option Expired | CALL SPXW 10/04/23 4265.000 | EXPIRED OPTIONS | 10.000 |
| 10/6 | Option Expired | CALL SPXW 10/05/23 4280.000 | EXPIRED OPTIONS | 10.000 |
| 10/6 | Option Expired | CALL SPXW 10/05/23 4275.000 | EXPIRED OPTIONS | 10.000 |
| 10/9 | Option Expired | CALL VXX 10/06/23 27.000 | EXPIRED OPTIONS | 75.000 |
| 10/9 | Option Expired | CALL AMZN 10/06/23 129.000 | EXPIRED OPTIONS | 50.000 |
| 10/9 | Option Expired | CALL SPXW 10/06/23 4315.000 | EXPIRED OPTIONS | 10.000 |
| 10/9 | Option Expired | PUT SPXW 10/06/23 4180.000 | EXPIRED OPTIONS | 10.000 |
| 10/9 | Option Expired | CALL VXX 10/06/23 26.000 | EXPIRED OPTIONS | 75.000 |
| 10/9 | Option Assigned | CALL AMZN 10/06/23 128.000 | CALLS ASSIGNED OPSGK0000232791231001762 | 50.000 |
| 10/9 | Option Expired | CALL SPXW 10/06/23 4310.000 | EXPIRED OPTIONS | 10.000 |
| 10/9 | Option Expired | PUT SPXW 10/06/23 4185.000 | EXPIRED OPTIONS | 10.000 |
| 10/16 | Option Expired | PUT NOC 10/13/23 460.000 | EXPIRED OPTIONS | 10.000 |
| 10/16 | Option Expired | PUT NOC 10/13/23 465.000 | EXPIRED OPTIONS | 10.000 |
| 10/23 | Option Expired | CALL AMZN 10/20/23 126.000 | EXPIRED OPTIONS | 1.000 |
| 10/30 | Option Expired | CALL AMZN 10/27/23 129.000 | EXPIRED OPTIONS | 1.000 |
| 10/30 | Option Expired | CALL AMZN 10/27/23 130.000 | EXPIRED OPTIONS | 1.000 |
| 11/6 | Option Expired | PUT CBOE 11/03/23 160.000 | EXPIRED OPTIONS | 10.000 |
| 11/6 | Option Expired | PUT CBOE 11/03/23 162.500 | EXPIRED OPTIONS | 10.000 |
| 11/6 | Option Assigned | CALL AMZN 11/03/23 135.000 | CALLS ASSIGNED OPSGK0000233071231003127 | 2.000 |
| 11/20 | Option Expired | CALL TGT 11/17/23 133.000 | EXPIRED OPTIONS | 50.000 |
| 11/20 | Option Expired | PUT TGT 11/17/23 126.000 | EXPIRED OPTIONS | 50.000 |
| 11/20 | Option Expired | PUT TGT 11/17/23 127.000 | EXPIRED OPTIONS | 50.000 |
| 12/4 | Option Expired | CALL SPXW 12/01/23 4595.000 | EXPIRED OPTIONS | 10.000 |

2023 Recap of Cash Management Activity

Self-Directed Brokerage Account

BUCKLEY

OPTION ACTIVITY (CONTINUED)

| Activity Date | Activity Type | Description | Comments | Contracts |
|---------------|-----------------|-----------------------------|--|-----------|
| 12/4 | Option Expired | PUT SPXW 12/01/23 4535.000 | EXPIRED OPTIONS | 10.000 |
| 12/4 | Option Expired | PUT SPXW 12/01/23 4540.000 | EXPIRED OPTIONS | 10.000 |
| 12/11 | Option Assigned | CALL MARA 12/08/23 16.500 | CALLS ASSIGNED OPSGK0000233421231004961 | 10.000 |
| 12/18 | Option Expired | CALL MNMD 12/15/23 4.000 | EXPIRED OPTIONS | 20.000 |
| 12/21 | Option Expired | CALL SPXW 12/20/23 4785.000 | EXPIRED OPTIONS | 10.000 |
| 12/21 | Option Expired | CALL SPXW 12/20/23 4780.000 | EXPIRED OPTIONS | 10.000 |
| 12/22 | Option Expired | CALL SPXW 12/21/23 4770.000 | EXPIRED OPTIONS | 10.000 |
| 12/22 | Option Expired | CALL SPXW 12/21/23 4765.000 | EXPIRED OPTIONS | 10.000 |
| 12/26 | Option Expired | CALL SPXW 12/22/23 4795.000 | EXPIRED OPTIONS | 10.000 |
| 12/26 | Option Expired | CALL SPXW 12/22/23 4790.000 | EXPIRED OPTIONS | 10.000 |